

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/932,430	08/17/2001	Bing Chao	EKM-81895

CONFIRMATION NO. 3438

FORMALITIES LETTER



OC000000006584675

Sheppard, Mullin, Richter & Hampton LLP
48th Floor
333 South Hope Street
Los Angeles, CA 90071

BEST AVAILABLE COPY

Date Mailed: 09/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/28/2002 JADD01 00000109 09932430

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:102	336.00 OP
03 FC:103	162.00 OP
04 FC:105	130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- o Total additional claim fee(s) for this application is \$482.
 - o \$162 for 9 total claims over 20.
 - o \$320 for 4 independent claims over 3 .
- o The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 1322.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- o Substitute drawings in compliance with 37 CFR 1.84 because:
 - o drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

13-11

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

#42 cfer \$

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
EKM-81895

In Re Application Of: **Bing Chao, et al.**

COPY OF PAPERS
ORIGINALLY FILED

1-24-02

Serial No.
09/932,430

Filing Date
August 17, 2001

Examiner

Group Art Unit
3711

Invention: **GOLF CLUB HEAD HAVING A HIGH COEFFICIENT OF RESTITUTION
AND METHOD OF MAKING IT**

COPY OF PAPERS
ORIGINALLY FILED

Jan 24-2002

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
September 21, 2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Assignment
Power of Attorney

01/24/2002 JADD01 00000109 09932430

05 FEB:115

110.00 0P

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
EKM-81895

In Re Application Of: **Bing Chao, et al.**

Serial No.
09/932,430

Filing Date
August 17, 2001

Examiner

Group Art Unit
3711

Invention: **GOLF CLUB HEAD HAVING A HIGH COEFFICIENT OF RESTITUTION
AND METHOD OF MAKING IT**

**COPY OF PAPERS
ORIGINALLY FILED**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$740.00

☐ Design application filing fee

☒ Total number of independent claims = 7 \$336.00

☒ Total number of claims = 29 \$162.00

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees \$1,368.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☒ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: November 21, 2001 until: December 21, 2001
Date *Date*

Total time extension fees \$110.00

Total fees due \$1,478.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
EKM-81895

In Re Application Of: **Bing Chao, et al.**

Serial No.
09/932,430

Filing Date
August 17, 2001

Examiner

Group Art Unit
3711

Invention: **GOLF CLUB HEAD HAVING A HIGH COEFFICIENT OF RESTITUTION
AND METHOD OF MAKING IT**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

**COPY OF PAPERS
ORIGINALLY FILED**

The fee of **\$1,478.00** is to be paid as follows:

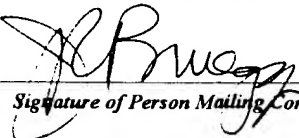
- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **19-1853**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **19-1853**
A duplicate copy of this sheet is enclosed.


Signature

**James R. Brueggemann, Esquire
Registration No. 28,286
Sheppard, Mullin, Richter & Hampton LLP
333 South Hope Street, 48th Floor
Los Angeles, California 90071
(213) 620-1780**

Dated: **December 21, 2001**

I certify that this document and fee is being deposited on
December 21, 2001 with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.


Signature of Person Mailing Correspondence

James R. Brueggemann

Typed or Printed Name of Person Mailing Correspondence

CC:

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
EKM-81895

In Re Application Of: **Bing Chao, et al.**

Serial No.
09/932,430

Filing Date
August 17, 2001

Examiner

Group Art Unit
3711

Invention: **GOLF CLUB HEAD HAVING A HIGH COEFFICIENT OF RESTITUTION
AND METHOD OF MAKING IT**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

**COPY OF PAPERS
ORIGINALLY FILED**

The fee of **\$1,478.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **19-1853**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **19-1853**
A duplicate copy of this sheet is enclosed.



James R. Brueggemann, Esquire
Registration No. 28,286
Sheppard, Mullin, Richter & Hampton LLP
333 South Hope Street, 48th Floor
Los Angeles, California 90071
(213) 620-1780

Dated: **December 21, 2001**

I certify that this document and fee is being deposited on
December 21, 2001 with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.



Signature of Person Mailing Correspondence

James R. Brueggemann

Typed or Printed Name of Person Mailing Correspondence

CC: